

**Form ADV Part 2B - Brochure Supplement  
Item 1: Cover Page  
March 2018**

**Kevin A. Kennedy, CPA  
CRD# 2891568**

**Kennedy Wealth and Tax Management, Inc.  
One Pomperaug Office Park  
Suite 307  
Southbury, CT 06488  
[www.kennedywealth.com](http://www.kennedywealth.com)**

This brochure supplement provides information about Kevin A. Kennedy, CPA that supplements our brochure. You should have received a copy of that brochure. Please contact Gregory J. Bunger, Chief Compliance Officer if you did not receive Kennedy Wealth and Tax Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Kennedy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Kevin Andrew Kennedy, CPA**

**Year of Birth:** 1969

### **Educational Background:**

- 1991; University of Connecticut; School of Business Administration, Accounting

### **Business Background:**

- 09/2014 – Present Kennedy Wealth and Tax Management, Inc.;  
Managing Member and Investment Adviser Representative
- 09/1995 – Present Kennedy & Company, PC, CPA's; President and Tax Preparer
- 06/2003 – 09/2014 Cambridge Investment Research, Inc.;  
Registered Representative
- 06/2003 – 09/2014 Cambridge Investment Research Advisors, Inc.;  
Investment Adviser Representative

### **Exams, Licenses & Other Professional Designations:**

- 10/2007 – Series 62
- 01/2006 – Connecticut Life and Health Insurance License
- 01/2003 – Series 65
- 07/1997 – Series 6
- 07/1997 – Series 63
- 02/1995 – Certified Public Accountant

### **Certified Public Accountant (CPA)**

Mr. Kennedy has a professional designation, Certified Public Accountant. CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to the evaluation of Mr. Kennedy.

## Item 4: Other Business Activities

Mr. Kennedy is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation he may earn.

Mr. Kennedy is also a Certified Public Accountant and as such, engages in tax preparation through Kennedy & Company, PC, CPA's. While he assists in tax preparation, he does not have signatory authority over client accounts. He spends approximately 80 hours per month in this capacity. His duties entail gathering tax related client data, preparing tax returns, and advising on tax planning strategies. Clients are under no obligation to utilize this service.

Mr. Kennedy is also an Honorary Board Member of Aerial Electric, a contracting company. Mr. Kennedy is not compensated for this activity and allocates a very minimal amount of time on this activity.

#### **Item 5: Additional Compensation**

Mr. Kennedy does not receive any other economic benefit for providing advisory services in addition to advisory fees.

#### **Item 6: Supervision**

Gregory J. Bunger, Chief Compliance Officer of Kennedy Wealth and Tax Management, Inc., supervises and monitors Mr. Kennedy's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Bunger if you have any questions about Mr. Kennedy's brochure supplement.